



THE SECRETARY-GENERAL

14 August 2012

Excellency,

I wish to extend an invitation to you to participate in a Mini Summit on Somalia which I am convening in the margins of the sixty-seventh General Assembly session, on 26 September 2012 from 3.30 p.m. to 5.30 p.m. at United Nations Headquarters, Conference Room 6.

As you may know, on 1 August 2012, the National Constituent Assembly of Somalia adopted the Draft Constitution. In doing so, the leaders and people of that country had signaled their commitment to the transition in Somalia, which will end on 20 August this year. After that date, the new political dispensation will begin immediately with the installation of the new Somali leadership. To mark that historic occasion, the Mini Summit will launch a high-level dialogue between the new Somali leaders and representatives of the international community, aimed at agreeing on a shared vision on the priorities for Somali peacebuilding. I remain confident that the meeting will build on previous commitments made, including at the London and Istanbul Conferences on Somalia. Support to the African Union Mission in Somalia and to the Somali National Security Forces will be among the key issues to be discussed.

To achieve the objective that motivated the initiative, I have invited key regional and international partners as well as key international financial institutions to the event. Please find enclosed, a draft agenda and a concept note for the meeting.

I look forward to your joining us in New York for this very important meeting, which holds so much promise for the people of Somalia.

Please accept, Excellency, the assurances of my highest consideration.

BAN/Ki-moon

Her Excellency
Mrs. Nkosazana Dlamini-Zuma
Chairperson-elect of the
African Union Commission
Addis Ababa





THE SECRETARY-GENERAL

xxx August 2012

Excellency,

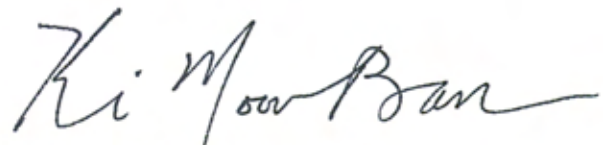
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BAN/Ki-moon

His/Her Excellency
Mr./Mrs.....
Title.....
City....

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BAN Ki-moon

His/Her Excellency
Mr./Mrs.....
President of.....
City....

LE SECRÉTAIRE GÉNÉRAL

Le 10 août 2012

Monsieur le Président,

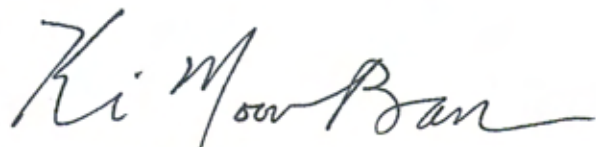
J'ai l'honneur de vous inviter à participer à un mini sommet sur la Somalie, que j'organise en marge de la soixante-septième session de l'Assemblée générale, le 26 septembre 2012 de 15 h 30 à 17 h 30 au siège de l'Organisation des Nations Unies, salle de conférence 6.

Comme vous le savez sans doute, l'Assemblée constituante nationale de Somalie a adopté la Constitution provisoire le 1^{er} août 2012. Ce faisant, les dirigeants et le peuple ont marqué leur engagement à mettre un terme à la transition le 20 août courant. Après cette date, un nouveau système politique entrera en vigueur immédiatement, avec la mise en place de nouveaux dirigeants somaliens. Pour marquer cet événement historique, le mini sommet lancera un dialogue de haut niveau entre les dirigeants du pays et les représentants de la communauté internationale, afin de développer une vision commune des priorités pour la consolidation de la paix en Somalie. Je suis certain que la réunion s'appuiera sur les engagements pris précédemment, notamment lors des conférences sur la Somalie à Londres et à Istanbul. Le soutien à la Mission de l'Union Africaine en Somalie et aux forces de sécurité nationales somaliennes feront partie des sujets de discussion principaux.

Afin d'atteindre l'objectif qui a motivé cette initiative, j'ai invité à cette réunion des partenaires régionaux et internationaux essentiels, ainsi que des institutions financières internationales. Veuillez trouver ci-joint un agenda provisoire et une note de réflexion pour la réunion.

J'espère que vous pourrez vous joindre à nous à New York pour cette importante réunion pleine de promesses pour le peuple de Somalie.

Je vous prie d'agréer, Monsieur le Président, les assurances de ma très haute considération.


BAN/Ki-moon

Son Excellence
Monsieur François Hollande
Président de la République française
Paris

THE SECRETARY-GENERAL

14 August 2012

Excellency,

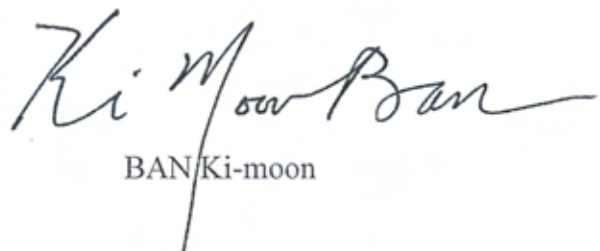
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To ensure the success of this initiative, I have invited key regional and international partners as well as key international financial institutions to the event. Please find enclosed, a draft agenda and a concept note for the meeting.

I look forward to your joining us in New York for this very important meeting, which holds so much promise for the people of Somalia.

Please accept, Excellency, the assurances of my highest consideration.



BAN Ki-moon

His Excellency
Sheikh Sharif Sheikh Ahmed
President of the Transitional Federal
Government of the Somali Republic
Mogadishu

UNITED NATIONS



NATIONS UNIES

POSTAL ADDRESS — ADRESSE POSTALE : UNITED NATIONS, NY 10017
CABLE ADDRESS — ADRESSE TELEGRAPHIQUE : UNATIONS NEWYORK

EXECUTIVE OFFICE OF THE SECRETARY-GENERAL
CABINET DU SECRETAIRE GENERAL

REFERENCE:

14 August 2012

Excellency,

The Secretary-General would be grateful if you could kindly forward the enclosed letter to Her Excellency Mrs. Nkosazana Dlamini-Zuma, Chairperson-elect of the African Union Commission.

A copy of the letter is attached for your information.

Please accept, Excellency, the assurances of my highest consideration.

A handwritten signature in black ink, appearing to read 'Jan Eliasson'.

Jan Eliasson
Deputy Secretary-General

His Excellency
Mr. Tété António
Permanent Observer of the African Union
to the United Nations
New York

UNITED NATIONS



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CABLE ADDRESS — ADRESSE TELEGRAPHIQUE : UNATIONS NEWYORK

EXECUTIVE OFFICE OF THE SECRETARY-GENERAL
CABINET DU SECRETAIRE GENERAL

REFERENCE:

Le 14 août 2012

Monsieur l'Ambassadeur,

Le Secrétaire général vous serait reconnaissant de bien vouloir faire transmettre la lettre ci-jointe à Son Excellence Monsieur François Hollande, Président de la République française.

Une copie de cette lettre est jointe pour votre information.

Je vous prie d'agréer, Monsieur l'Ambassadeur, les assurances de ma très haute considération.

Le Vice-secrétaire général

A handwritten signature in black ink, appearing to read 'Jan Eliasson'.

Jan Eliasson

Son Excellence
Monsieur Gérard Araud
Représentant permanent de la France
auprès de l'Organisation des Nations Unies
New York

UNITED NATIONS



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EXECUTIVE OFFICE OF THE SECRETARY-GENERAL
CABINET DU SECRETAIRE GENERAL

REFERENCE:

14 August 2012

Excellency,

The Secretary-General would be grateful if you could kindly forward the enclosed letter to His Excellency Mr. Sheikh Sharif Sheikh Ahmed, President of the Transitional Federal Government of the Somali Republic.

A copy of the letter is attached for your information.

Please accept, Excellency, the assurances of my highest consideration.

A handwritten signature in black ink, appearing to read 'Jan Eliasson'.

Jan Eliasson
Deputy Secretary-General

His Excellency
Mr. Elmi Ahmed Duale
Permanent Representative
of the Somali Republic
to the United Nations
New York

UNITED NATIONS



NATIONS UNIES

POSTAL ADDRESS — ADRESSE POSTALE : UNITED NATIONS, NY 10017

CABLE ADDRESS — ADRESSE TELEGRAPHIQUE : UNATIONS NEWYORK

EXECUTIVE OFFICE OF THE SECRETARY-GENERAL
CABINET DU SECRETAIRE GENERAL

REFERENCE:

XX August 2012

Excellency,

The Secretary-General would be grateful if you could kindly forward the enclosed letter to His/Her Excellency (NAME AND TITLE).

A copy of the letter is attached for your information.

Please accept, Excellency, the assurances of my highest consideration.

A handwritten signature in black ink, appearing to read 'Jan Eliasson'.

Jan Eliasson
Deputy Secretary-General

His/Her Excellency
NAME
Permanent Representative of COUNTRY
to the United Nations
New York



Mini Summit on Somalia
New York, 26 September 2012

List of Participants

1. Burundi
2. China
3. Denmark
4. Djibouti
5. Egypt (LAS stakeholder, attended 2010 Mini Summit)
6. Ethiopia
7. France
8. Germany
9. India (SecCo and new donor)
10. Italy
11. Japan
12. Kenya
13. Norway
14. Qatar (LAS member, key donor)
15. Republic of Korea (new donor)
16. Russian Federation
17. Saudi Arabia
18. Sierra Leone (AMISOM TCC)
19. Somalia
20. South Africa (to host next International Contact Group on Somalia)
21. Sudan
22. Sweden
23. Turkey
24. Uganda
25. United Arab Emirates (LAS member, key donor, attended 2010 Mini Summit)
26. United Kingdom
27. United States
28. Yemen (neighbour, LAS member, attended 2010 Mini Summit)
29. African Union
30. European Union
31. Islamic Development Bank (launching reconstruction fund)
32. League of Arab States
33. Organization of Islamic Cooperation (OIC)
34. World Bank (reengaging in development of Somalia)

Drafter: DPA

Proofread by:

English: US/AB/MZ

French: SL/MZ

Approved by:

A handwritten signature in dark ink, appearing to be 'JB' or similar, written over the 'Approved by:' text.

Date: 7 August 2012

DRAFT

UNITED NATIONS
NATIONS UNIES



Mini Summit on Somalia
“From Transition to Transformation: the New Agenda for Somalia”
26 September 2012, 3.30 PM – 5.30PM

Location: UN Headquarters, Conference Room 6, North Lawn Building

Proposed Agenda

10 min	Opening remarks by the Secretary-General of the United Nations
10 min	Statement by the President of Somalia
10 min	Statement by the Chairperson of African Union Commission
45 min	Statements by Participants
10 min	Adoption of the draft Communiqué
5 min	Statement to the Press by the Secretary-General, President of Somalia and Chairperson of African Union Commission

CONCEPT NOTE

Mini Summit on Somalia
“From Transition to Transformation: the New Agenda for Somalia”
26 September 2012, New York

I. OBJECTIVES AND ISSUES FOR DISCUSSION

1. On 1 August, the National Constituent Assembly of Somalia adopted the Provisional Constitution of Somalia. In doing so, the people of Somalia, through their representatives, overwhelmingly renewed their commitment and determination to end the unfortunate political situation in the country, and to open a new page of peace, security, stability and development in their country. The adoption of the Provisional Constitution is an important benchmark for the political transition in Somalia, which is due to end on 20 August 2012. The coming weeks will mark a shift into a new political dispensation, characterized by a new phase of peacebuilding in Somalia. In pursuance of the United Nations’ unwavering efforts to build a more stable and secure Somalia, the Secretary-General intends to convene a Mini Summit on Somalia on 26 September 2012, on the margins of the UN General Assembly.

2. The key objective of the Mini Summit is to launch a high-level dialogue between representatives of the international community and the new Somali leadership on the new Government’s medium to long-term priorities. To this end, the meeting will seek early commitments from Somali and international partners to support key priorities for the next phase of the Somali political process and stabilization efforts. The stabilization of Somalia, re-building of the state authority, notably the governance and security sector institutions, is essential to create the necessary conditions for building sustainable peace in the country. The meeting will take into account the recent security gains made by the allied Somali forces and AMISOM, as well as prioritise needs for economic recovery and development efforts.

3. Agenda Outline:

- Statement by the Secretary-General of the United Nations;
- Statement by the President of Somalia;
- Statement by the Chairperson of the African Union Commission;
- Statements by Participants;
- Adoption of a draft Communiqué
- Statement to the Press by the Secretary-General, President of Somalia and Chairperson of African Union Commission

II. EXPECTED OUTCOME OF THE MEETING

4. The Mini Summit will adopt a Communiqué which would:

- Define broad priorities for peacebuilding and stabilization in Somalia. (This would include: finalization of the provisional Constitution, national dialogue and reconciliation, restoration of State authority across the country, including building capacities in justice, governance, rule of law and security sectors.)

- Commit to ensuring a coherent international approach and assistance in support of a Somali-led plan of action, including a timeline for a donor conference;
- Commit to supporting AMISOM beyond 2012, as well as enhance efforts to rebuild the Somali security institutions;
- Mobilize support for United Nations efforts (United Nations Political Office for Somalia and Country Team) in support of the new Somali authorities, noting the need for an increased presence inside Somalia and additional support to national reconciliation, governance and institution-building, provision of basic services in Mogadishu and areas newly recovered from Al Shabaab.

III. PROCEDURAL AND ORGANISATIONAL ISSUES

5. A draft programme is attached.

6. The proposed list of invitees is as follows: Burundi, China, Denmark, Djibouti, Egypt, Ethiopia, France, Germany, India, Italy, Japan, Kenya, Norway, Republic of Korea, Russian Federation, Qatar, Saudi Arabia, Sierra Leone, Somalia, South Africa, Sudan, Turkey, Uganda, United Arab Emirates, United Kingdom, United States, Yemen; AU Commission; European Union; the League of Arab States, the Organization of Islamic Conference, the Islamic Development and the World Bank.

IV. RATIONALE AND BACKGROUND

7. The Somali transition, which began in 2004, will end on 20 August, ushering in a new era of peacebuilding. The adoption of the Draft Constitution by a broad-based National Constituent Assembly on 1 August is a key milestone. By end August, Somalia is scheduled to have a new Parliament and President who will bear the responsibility for forming a new inclusive Somali government, and carrying forward the peace process. Now is the time to develop medium to long-term plans for durable peace and stability. The new Government must take ownership of a genuine national reconciliation process and creating conditions for a durable peace, stability and economic development. The Government must remain united and assume full control of territory recovered from the insurgents in order to effectively deliver to its citizens.

8. On the **political front**, Somali stakeholders and the international community have reaffirmed their strong commitment to broadening the political process, notably by restoring state authority in the areas newly recovered from Al Shabaab and through broad-based national reconciliation. Establishing transparent, inclusive and representative administrations in those areas is crucial to restoring security and regaining the population's confidence. The interim institutions, notably the new Parliament will also need to be supported and nurtured. Overall, the new period must mark a transition from clan-based politics towards a participatory democratic process, including finalisation of the Constitution and preparations for elections.

9. On the rule of law and **security realm**, combined efforts of the TFG forces, AMISOM and regional countries have led to the recovery of large areas in Mogadishu and central and southern Somalia, thus creating real opportunities for stability and national reconciliation. Progress in political governance, independent administration of justice, respect for human rights and provision of basic services remain critical to

consolidate these gains. Meanwhile the risk of Al Shabaab resorting to terrorist tactics continues to pose a serious challenge to the new Government, AMISOM and

internationals working in Somalia. Sustained support for the Somali forces and for the expanded AMISOM authorized under resolution 2036, including troops, police and a guard force is critical. Beyond 2012, enhancing support to the Somali security architecture is of paramount importance.

10. On the **humanitarian and recovery track**, famine conditions are no longer present; however, millions of people remain vulnerable. Mitigating the effects of drought by rebuilding livelihoods of the affected communities and local capacities will be critical. As security improves, support to resettlement of IDPs in Mogadishu and other parts of southern central Somalia will become increasingly urgent. Provision of basic services to Somalis as well as sustainable livelihoods, including in areas being recovered from Al Shabaab will be essential for stabilization. This should be planned and implemented in an integrated manner with efforts in the governance and justice sectors. Urgent support to help meet funding gaps in the Consolidated Humanitarian Appeal for Somalia will be crucial.

11. The end of transition is expected to bring new opportunities for the economic **recovery and development** of Somalia, thereby enabling Somali stakeholders and their international partners to engage on the reconstruction of Somalia. As was discussed at Istanbul and London, these should include building capacity for an environment conducive to private sector development, particularly in the areas of energy, water and roads, as well as by developing the regulatory framework. The Security Council has emphasized the need for the new Government to place increased emphasis on fiscal accountability, and take demonstrable steps to fight corruption. This will in turn give the international community the confidence to start investing in sustainable institutions in the new Somalia. Civil society and local administrations have a major role to play in peacebuilding efforts, especially at the local level.

12. As described above, the incoming Somali leadership will face daunting challenges as well as high expectations from their own population. It is critical that the **international community** immediately injects resources to enable early progress, notably by continuing to fund and support existing plans that are relevant beyond the transition: the Somali-owned Reconciliation and Stabilisation Plan; the Mogadishu Stabilisation and Security plans, as well as the National Security and Stabilization Plan. While early investment in Somali institutions will come with risks, we cannot fail in our duty to help Somalia at this moment of opportunity. The international community should be unified in its approach, especially to facilitate articulation of the relationship between the central Government and regional administrations, and help create the conditions for the involvement of civil society stakeholders.

13. The UN stands ready to facilitate an agreement between the international community and Somalis on a new "Roadmap", including international support structures to the political process, security architecture as well as governance and development frameworks. This must be a Somali-led process, based on the principles of national ownership and mutual accountability. Meanwhile, the UN will undertake a strategic review of its activities in Somalia in close consultation with the new Somali administration, African Union and relevant regional and international partners. The mini-Summit will provide an opportunity to discuss expectations and priority areas.

United Nations



Nations Unies

Executive Office of the Secretary-General
Cabinet du Secrétaire général

MINI SUMMIT ON SOMALIA
26 SEPTEMBER 2012

To: CDC (through DSG),

Please find attached, for your approval and the SG's signature, draft invitations for the Mini Summit on Somalia to be held in the margins of the GA. A draft agenda and concept note are also attached. The recommended participants' list (attached) stands at 34, reflecting the broad range of international partners on Somalia and the importance of ensuring a unified message to the new Somali authorities.

Although the Somali leaders remain committed to completing the selection of a new Government by 20 August, this is likely to be a contentious process. In the event of a delay in the selection of a new executive, DPA recommends that the Mini Summit should still proceed. The focus of the meeting would then be to exert pressure on the Somali leaders and exhort progress

An invitation letter to President Sheikh Sharif Ahmed has been prepared on the understanding that this would be transmitted to his successor once appointed.

AK

Political Unit
10 August 2012

cc: DSG
JA

12-07288

ACTION ^{LAG}
COPY ^{ISG}
JA



Confidential

Note to the Secretary-General

UPCOMING MINI-SUMMIT ON SOMALIA

1. Please find attached, for your signature, draft invitations, along with the draft agenda and draft concept note for the mini-summit on Somalia to be held on the margins of the General Assembly. The recommended participants' list (attached) stands at 33, reflecting the broad range of international partners on Somalia and the importance of ensuring a unified message to the new Somali authorities.
2. We wish to draw attention to the risks that delays or stalemates in the selection of the new Somali leadership could produce during the mini summit. With the approval of the Draft Constitution by the National Constituent Assembly, Somalia's traditional Elders must now complete selection of the new Federal Parliament, who will then convene and select the new Speaker and President. The Somali leaders maintain their commitment to complete the process by the 20 August deadline, whilst your Special representative and UNPOS are working to ensure timeliness and assist the credibility of the process. However, we are concerned that the formation of the Government in Somalia is likely to be a contentious process characterized by intense infighting among interest groups.
3. In the event of a delay in the selection of the new Executive, we would recommend that the mini summit should still proceed. The Somali representation would need to be reviewed (and might devolve to a technical level). The focus of the meeting would then be to pressure the Somali leaders and exhort progress. For now, as you requested, we have prepared an invitation letter to President Sheikh Sharif Ahmed on the understanding that this would be transmitted to his successor once appointed.



Jeffrey Feltman
3 August 2012

CC: Amos
Clark
Haq
Ladsous