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Note to Mr. Antonio Maria Costa

A Comprehensive Approach to Combat Illicit Trafficking
Geneva, 28-29 September 2009

The Executive Office of the Secretary-General has been approached by the organizers of a conference to promote international coordination in efforts to combat illicit trafficking in all its forms (A Comprehensive Approach to Combat Illicit Trafficking, Geneva 28-29 September). The organizers, the IFPA, had wanted someone, possibly from EOSG, to present an UN overview of this issue (Agenda attached). EOSG is of the view that you would in fact be best placed to do this, on behalf of the UN system and has accordingly suggested this to the IFPA. You have already been invited in your ODC capacity. We are copying Mr. Charles Perry, so that they can liaise directly with you, in the event that you can assist.



Vijay Nambiar
31 July 2009

cc: Mr. Kim

29-07932



Misc Op (I)

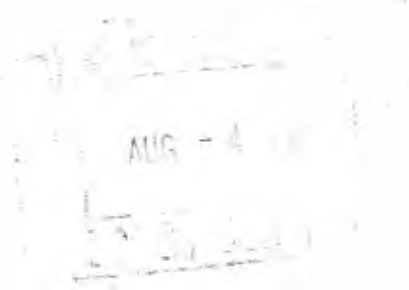
To: Mr. Nambiar,

Please find attached a note to Mr. Costa, that I have drafted for your signature, asking him to represent the UN at the Conference: **A Comprehensive approach to Combat Illicit Trafficking** which will be held in Geneva 28-29 September 2009.

Nicholas Haysom
28 July 2009

Cc: KWS

29-07932



UNITED NATIONS



NATIONS UNIES

EXECUTIVE OFFICE OF THE SECRETARY-GENERAL

FACSIMILE TRANSMISSION

Date: 3 August 2009	Ref:
TO: Mr. Charles Perry Vice President and Director of Studies Institute for Foreign Policy Analysis Inc. Cambridge, Mass.	FROM: Nicholas Haysom Political Director Executive Office of the Secretary-General New York
FAX: 617 492 8242	TEL: 212 963 4861 FAX: 212 963 2155
No of pages: 2 (including this one)	
Subject: <u>A comprehensive Approach to Combat Illicit Trafficking,</u> <u>Geneva 28 -29 September 2009</u>	

Dear Mr. Perry,

I have attached a copy of a note sent to Mr. Costa, Executive Director of UNODCI informing him that he had been designated to represent the UN at the High level meeting (A Comprehensive Approach to Combat Illicit Trafficking).

Can you kindly liaise with him directly for all other information pertaining to the meeting.

Yours sincerely,

A handwritten signature in dark ink, appearing to read 'Haysom', with a long horizontal stroke extending to the right.



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Follow with proposed letter

July 28, 2009

Mr. Nicholas Haysom
Director for Political Affairs
Executive Office of the Secretary General
The United Nations
New York, NY

Dear Mr. Haysom:

In advance of my office call tomorrow, I'd like to take just a few minutes to provide you with some additional, up-to-date background information on the high-level meeting my institute, the Institute for Foreign Policy Analysis (IFPA), is organizing in Geneva, Switzerland, September 28-29, 2009 – and that I've been discussing with Mr. Kim Won-soo – on international efforts to combat illicit trafficking across the board. This meeting is being organized by IFPA and the Geneva Centre for Security Policy (GCSP), in cooperation with the Swiss Federal Department of Foreign Affairs (FDFA) and the North Atlantic Treaty Organization (NATO). Entitled *A Comprehensive Approach to Combating Illicit Trafficking*, this 1½-day session is designed to bring together officials from intergovernmental organizations (IGOs) and other institutional stakeholders who are engaged in various efforts to combat illicit trafficking – to include the UN, the OSCE, and the EU, as well as NATO and many others listed on the attached workshop overview – to share lessons learned and to discuss the opportunities that may exist to pool resources and improve coordination in support of common objectives.

Needless to say, the participation of various UN agencies and offices will be central to the success of the meeting, and we are currently confirming the attendance of a number UN-affiliated experts who deal with one or another aspect of illicit trafficking, be it related to trafficking in humans, weapons of mass destruction (WMD) and related materials, drugs, money, or small arms. That said, given the relatively new MoU for cooperation between NATO and the UN, those with whom we are working at NATO headquarters are especially anxious to have strong representation from UN headquarters, most particularly from the EOSG, if that is possible. More specifically, since we are aiming for a comprehensive cross-sector review and strategy session, it would be enormously valuable to have the participation of a senior representative from the UN front office who might be able to talk about UN programs and interests with respect to the full range of trafficking challenges, and who could speak as well about the growing importance of multilateral, inter-institutional cooperation – what NATO calls a comprehensive approach-strategy – to effectively manage such challenges. Of course, given the importance of the WMD issue to global efforts to combat illicit trafficking, we are reaching

Mr. Nicholas Haysom

July 28, 2009

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out as well to AMB Urbina and the UN 1540 Committee, and we hope very much that someone from the 1540 Committee will be able to join us to help shed light on the WMD aspect of the UN's program. Having someone in Geneva from the EOSG, however, who could lay out the "bigger picture" perspective on trafficking challenges and requisite responses – ideally as the opening speaker for Session 1 in the afternoon of Monday, September 28 – is our top priority.

Overall, we envision a meeting of approximately 50 **senior-level** officials from IGOs and other specialized agencies with expertise on the spectrum of major trafficking challenges, and we already have confirmed a number of senior ambassadors, director-generals, assistant and deputy assistant secretaries, and general officers, including four-star rank commanders. As you can see from the attached draft agenda, the meeting will begin at 1600 on Monday, September 28 with an introductory "big picture" session that would explore some of the primary reasons why a comprehensive approach-strategy aimed at maximizing cooperation across anti-trafficking organizations ought to be pursued. This opening session would be followed by a reception and dinner with a keynote speaker. Workshop discussions on Tuesday, September 29, which begin at 0900, would be structured around three additional sessions that would delve more deeply into the strategies and capabilities developed by various IGOs to combat specific types of illicit trafficking, zero in on key operational and policy planning sectors where greater cross-organizational collaboration would be both feasible and beneficial, and then, on the basis of these exchanges, identify a number of steps that could be taken to facilitate and actually achieve multi-agency cooperation along the lines proposed. We plan as well to confirm a luncheon keynote speaker who could comment on what's gone well and what still needs to be improved to combat illicit trafficking in one of the most worrisome sectors of concern and/or within a region where such trafficking has been especially acute. The workshop would end by 1700.

Workshop sessions will be held at GCSP facilities, 7 bis, Avenue de la Paix, in the city center of Geneva. Discussions will be off-the-record to encourage an open and informal exchange of views among attendees. A reception and dinner will be held on September 28 in the same building where GCSP is located or at the Hotel Royal, 41 rue de Lausanne, where a block of rooms has been set aside for workshop attendees coming from outside Geneva.

I'll be happy to provide further details on the program and attendees, and to answer any other questions you may have when we meet, but I hope this gives a better overall feel for what we are trying to accomplish. After we meet, you and your office can always reach me at the following coordinates – Office Tel. #: 617-492-2116, email: cperry@ifpa.org, or Cell #: 617-529-2116. If I'm not available, please ask for Mr. Jack Kelly, an IFPA Senior Staff Member who is assisting me in organizing this event, at the same office telephone number as mine above or at email: kell@ifpa.org.

Sincerely,



Charles M. Perry, Vice President & Director of Studies

Draft Agenda

A Comprehensive Approach to Combating Illicit Trafficking

Geneva, Switzerland

September 28-29, 2009

Organized by:

The Institute for Foreign Policy Analysis (IFPA)

The Geneva Centre for Security Policy (GCSP)

In cooperation with:

The Swiss Federal Department of Foreign Affairs (FDFA)

and the North Atlantic Treaty Organization (NATO)

September 28

1530-1600 Registration

1600-1615 Welcome & Introduction

1615 - 1745 Session 1 - *Combating Illicit Trafficking: Why Do We Need a Comprehensive Approach and How Can It Help?*

This would be a “big picture” session, framing the overall problem of illicit trafficking in its many guises and highlighting the primary reasons why a comprehensive approach-strategy ought to be adopted that would coordinate more effectively the various contributions of individual IGOs and other stakeholders trying to combat illicit trafficking. Ideally, this would be opened by a UN official with a sufficiently broad perspective and/or mandate, who would then be followed by discussants from two or three regional organizations – e.g., the OSCE, EU, and NATO – who serve in part as “umbrella” organizations for other groups more directly involved in anti-trafficking work.

1800-2000 Reception & Dinner with Opening Speaker

After the first session that lays the general groundwork for the next day’s session, the reception and dinner would be a time for attendees to get to know each other or to renew acquaintances. This would be a good time as well for a speech from a senior Swiss FDFA official that would offer, on behalf of the host country, a Swiss perspective on trafficking problems and optimal responses. Alternatively, a current or former U.S. official involved in the Proliferation Security Initiative (PSI) and the Global Initiative to Combat Nuclear Terrorism (GICNT) could offer some overarching comments on what’s gone well and what still needs to be improved in recent efforts to combat illicit trafficking in one of the most worrisome sectors of concern – namely, NBC/WMD-related trafficking.

September 29

0900-1030 Session 2 - *Institutional Responses to Trafficking Challenges: Strategies, Capabilities, and Networks*

In this morning session, several individual IGOs representatives would be asked to give brief, focused presentations (about 10 minutes each) on their strategies and capabilities for combating specific types of illicit trafficking. The idea here is to develop a better sense of the individual parts of the larger trafficking problem – and a clearer understanding of what specific IGOs and other stakeholders can “bring to the table” – before talking about how (and to what degree) these separate organizations and their assets might be “reassembled” and more closely coordinated in support of a more comprehensive approach. Presentations would be organized according to specific types of illicit trafficking and specific methods/modes of transport utilized by traffickers, and, depending on attendees, the discussion of types and methods could be integrated or separated out (as indicated below). An effort would be made to identify common problems and experiences, as well as unique difficulties, faced by these organizations as they conduct anti-trafficking operations in particular sectors.

- Anti-trafficking examined by type of trafficking challenge (options for IGO commentators are listed, but only one would be picked to kick off discussion for each type of trafficking):
 - NBC/WMD proliferation – UN 1540 Committee, UNICRI, IAEA, OPCW
 - Conventional arms trafficking – UNODA/UNIDIR, Small Arms Survey
 - Drug trade – UNODC, INCB, MAOC-N
 - Human trafficking – IOM, INTERPOL, ILO
 - Money laundering/counterfeiting – FATF, WTO, WIPO, World Bank

1030-1045 Coffee/Tea Break

1045-1200 Session 2 (Continued)

- Anti-trafficking examined by trafficking method/modality :
 - Air – ICAO, IATA, ECAC
 - Maritime – JFC Naples, IMO, MAOC-N, PSI
 - Overland – WCO, USEUCOM, FRONTEX

1200-1400 Lunch with Keynote Speaker

Ideally, the lunch provides an opportunity to hear from a practitioner with first-hand policy and operational experience who could talk both about some practical lessons

learned and identify areas where improvement is needed. If a Swiss official speaks at the dinner the night before, this would be an excellent slot for a U.S. official involved in PSI and/or GICNT efforts, as discussed above. Alternatively, a senior official from a regional organization or a regional anti-trafficking expert would be good to provide a deeper understanding of the importance of, and challenges involved with, developing a more integrated regional approach to combating illicit trafficking. Candidates might include the head of SECI or a national rep involved in organizing regional efforts in the Black Sea and/or Central Asian region.

1400-1530 Session 3 – *Priorities and Opportunities for Enhanced Coordination*

Based in part on the morning's presentations, this session would zero in on specific functional and operational sectors where there is both an opportunity to pursue greater cross-organizational collaboration and a real utility in doing so. IGOs and other stakeholders with a special expertise/interest in the functional and operational sectors outlined below would be asked to offer some opening comments (7 to 10 minutes) to trigger broader discussion. Key questions to discuss would include the following: How can existing IGO assets and infrastructure be leveraged more effectively across a broad range of trafficking challenges? Where are the most troubling weaknesses and/or capability gaps in anti-trafficking operations? What policy initiatives would help most? Where can technology improvements have the greatest impact?

- Issue areas and operational sectors to review would include:
 - Information/data collection and sharing – NATO, UN, EU
 - Clarification/strengthening of legal mechanisms and law enforcement capabilities – INTERPOL, EUROPOL, UNHR, Council of Europe
 - Development and fielding of anti-trafficking technology (e.g., sensors, ship/cargo tracking, interdiction, defense, etc.) – DTRA, EURATOM, JFC Naples
 - Infrastructure and capacity-building to support policy coordination and cooperative operations (e.g., at both the regional and global levels) – SECI, UESUCOM, FRONTEX, OAS, PSI or GICNT rep
 - Plans and capabilities for managing the consequences when prevention fails – EU, NATO, UN

1530-1545 Coffee/Tea Break

1545-1645 Session 4 – *Setting the Agenda for a Comprehensive Approach*

Building on Session 3's discussion of basic areas/opportunities for enhanced collaboration, this session would seek to identify more precisely a number of realistic steps that could be taken to lay the **groundwork** for a comprehensive approach that would help to coordinate IGO and other stakeholder efforts in support of common anti-trafficking requirements and objectives. This would be a more open roundtable discussion (without prepared presentations) focused on action items that participating

organizations could pursue to good effect in concert. Potential topics to discuss would include:

- Building a list/roster of key POCs and capabilities (what level, where it should reside, how accessible, etc.)
- Cross-referencing/integrating data banks
- Thinking about joint training and exercises (e.g., civil-military, interagency, cross-organizational, etc.)
- Future opportunities for R&D collaboration
- Moving toward a multi-layered and coordinated response network (for prevention, disruption/interdiction, and consequence management)

1645-1700 Concluding Remarks

Overview of IGO Workshop on Combating Illicit Trafficking

Timing/Location: September 28-29, 2009, at the Geneva Centre for Security Policy (GCSP), Geneva, Switzerland

Theme: *A COMPREHENSIVE APPROACH TO COMBATING ILLICIT TRAFFICKING*

Focused presentations and roundtable discussions on the overall challenge of illicit trafficking and on the importance of – and ways to achieve – broader collaboration between and among IOs, IGOs, and other institutional stakeholders charged with responsibility for combating illicit trafficking in its various formats. Topics to address would include types and modes of illicit trafficking, law enforcement and legal issues, requirements for information sharing, IO/IGO capabilities for combating illicit trafficking, emerging technologies to support anti-trafficking operations, collaborative training and exercise opportunities, consequence management planning to minimize the effects of illicit trafficking, and regional/global frameworks for enhancing interagency and inter-institutional cooperation.

Purpose/Objectives:

To develop relationships and establish networks between/among IOs, IGOs, and other institutional stakeholders in order to:

- Foster closer coordination and cooperation among anti-trafficking organizations, including the UN, the OSCE, the EU, NATO, and various constituent offices and agencies.
- Develop a database on, and promote information sharing with respect to, key capabilities for combating illicit trafficking. Eventually, such a database might be posted and managed via a password protected website.
- Identify key organizational POCs and institutional capabilities for networking on both a non-crisis and an emergency basis.
- Clarify future opportunities for joint training and exercises, as well as for technology development.
- Broaden international support for UN 1540 activities, in part by clarifying what IOs/IGOs can contribute to UNSCR 1373 and UNSCR 1540 efforts.
- Over the longer term, develop a more integrated, cross-organizational, response-based network to help combat illicit trafficking. This could include programs and capabilities to prevent, disrupt, interdict, and, if necessary, manage the consequences of illicit trafficking.

Event organization:

Organized by the Institute for Foreign Policy Analysis (IFPA) and the Geneva Center for Security Policy (GCSP), in cooperation with the Swiss Federal Department of Foreign Affairs (FDFA) and the North Atlantic Treaty Organization (NATO). Additional institutional endorsement will be encouraged.

Format:

A roundtable dialog in a hollow-square or U-shape configuration, structured around selected panel and keynote presentations.

Participants:

Approximately 50 participants at the ambassador, senior deputy, and senior director/expert level, with a mix of policy and operational expertise.

IOs/IGOs to be invited (initial list):

European Union (and various subgroups)

EUROPOL

Council of Europe

Financial Action Task Force (FATF)

Food and Agriculture Organization of the United Nations

FRONTEX

International Atomic Energy Agency

International Civil Aviation Organization

International Federation of Red Cross and Red Crescent Societies <http://www.ifc.org/>

International Labour Organization (ILO)

International Maritime Organization

International Monetary Fund

International Narcotics Control Board <http://www.incb.org/>

International Organization for Migration

INTERPOL

Maritime Analysis and Operations Centre (MAOC)

North Atlantic Treaty Organization (NATO) and various Allied commands

Organization for Economic Cooperation and Development

Organization for the Prohibition of Chemical Weapons

Organization for Security and Cooperation in Europe

African Union

Organization of American States

SECI Regional Center for Combating Trans-Border Crime

SEDM-CC/CMEPCSEE

United Nations Commission on Human Rights

United Nations Crime and Justice Research Institute (UNICRI)

United Nations High Commissioner for Refugees

United Nations Institute for Disarmament Research

United Nations Office on Drugs and Crime ✱

World Bank

World Customs Organization

World Food Programme

World Health Organization

World Trade Organization

World Organization for Animal Health (OIE)